

Abstract Interpretation & Symbolic Execution

Reiner Hähnle

joint work with: Richard Bubel (Chalmers), Benjamin Weiss (KIT)

Högre seminariet i logik
Institutionen för filosofi, lingvistik och vetenskapsteori
Göteborgs universitet

Chalmers University of Technology, Gothenburg, Sweden

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The “usual” (i.e., well-known) software challenges

- Software is ubiquitous
50% of productivity gain in industrial societies (EC FP7 ICT)
- Size and complexity of software systems
Software in modern cars in GB-range
- Growing safety/security demands, certification
e-documents, health, transport, finances, connectedness
- Increasing effort for validation and maintenance of software
The more successful a piece of software, the longer it is in use
- Re-use & adaptation is the norm
Libraries, system integration
- Short production cycles, rapidly changing requirements
Innovation through software

Some Suggested Solutions

- Software processes Optimization of effort
Empirical studies, agile methods
- Requirements analysis Optimization of the intended result
Customer in-the-loop, use-case → task
- Abstract modeling Reduction of complexity, code generation
Architectural models, MDD, SW product lines
- Validation Inspection, testing
Model-based test generation
- Formalisation Mechanisation, tools
Static analysis, formal verification

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“No silver bullet” — Concertation is essential!

Established Software Production Tools

- IDEs, editors, metrics
- Compilation, type checking
- Interactive debugging
- Management and automated execution of test suites

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Formalisation: Prerequisite for further Automation

Formal semantics of modeling & programming languages:

- Eliminating ambiguity
- Formal guarantees of software properties and test coverage
- Basis for automated verification and glass box test generation
- Proof of properties via certification objects
- Cooperation/combination of heterogeneous technologies

What is Static Analysis?

Analysis of Software Properties at Compile Time

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- Local and with limitations since many years in compilers
- The earlier errors are detected the cheaper it is to fix them!
 - detection of potential run-time errors
 - detection of mismatches between code and specification
 - proof of error-freeness (wrt. a formal model)
- Optimization of performance (memory leaks, dead code, evaluation)
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Static Analysis: Representative Approaches

- Extended type systems
- Data/control flow analysis, code slicing
- Abstract interpretation
- Model checking
- Formalisation in program logic, deduction, symbolic execution

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... used in modern programming language guarantee at **compile time** that the values of all variables at **runtime** conform to their declared type.

- important security and safety property
- can prevent attacks and crashes
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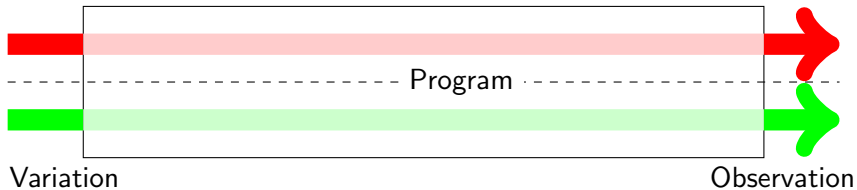
Extended Type Systems

... attempt to guarantee properties beyond type conformance:

- a reference type variable never has value **null** when accessed
- the value of a certain variable does/does not depend on another

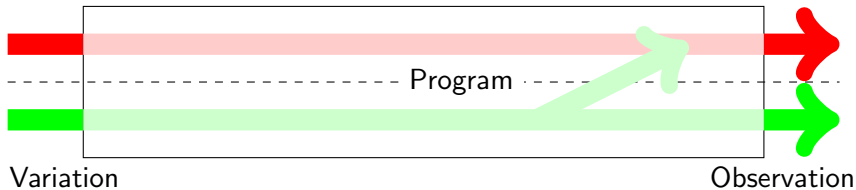
A Type System for Security Analysis

Non-interference: **Public** variables don't depend on **secret** ones



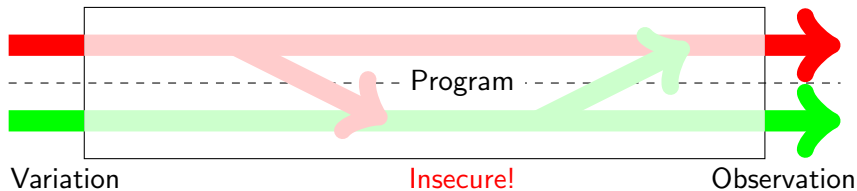
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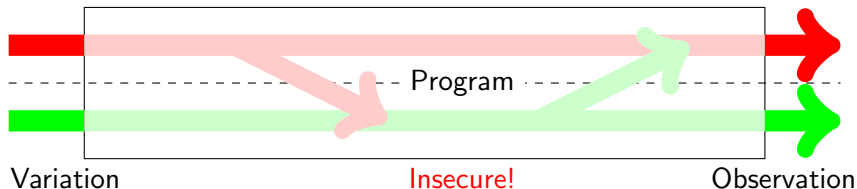
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Typical State-of-Art Type System

- Hunt-Sands, POPL 2006, On Flow-Sensitive Security Types

- Catches indirect information flow

```
if (secret) public = 0 else public = 1;
```

insecure

- Type checking in polynomial time

- Approximation (false positives): wrongly classified as **insecure**

```
if (secret) public = 0 else public = 0;
```

sicher

- Implemented for Spark, problematic for JAVA (aliasing)

All configurations at each program location with abstract values

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- Due to Cousot & Cousot, 1977ff
- Commercial use, e.g. in A3XX software
- Termination when no ∞ ascending chains in abstract domain
- Abstract interpretation of all operators in target program
- Soundness: in abstract domain reachable states approximate all reachable states in concrete computations

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```
gSum=0, index= $\geq$ 
```

```
gSum = 0;  
while (index > 0) {  
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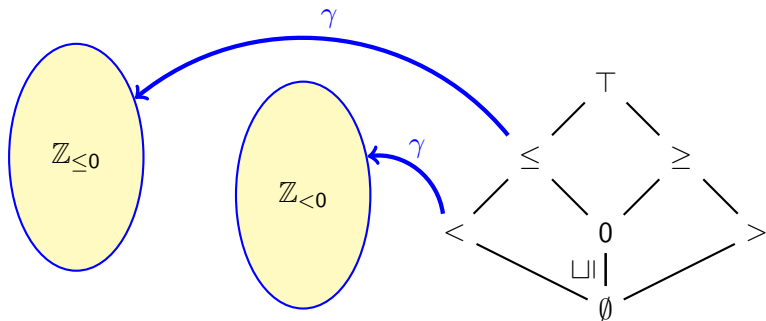
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Abstract Interpretation: Value Domains as Lattices

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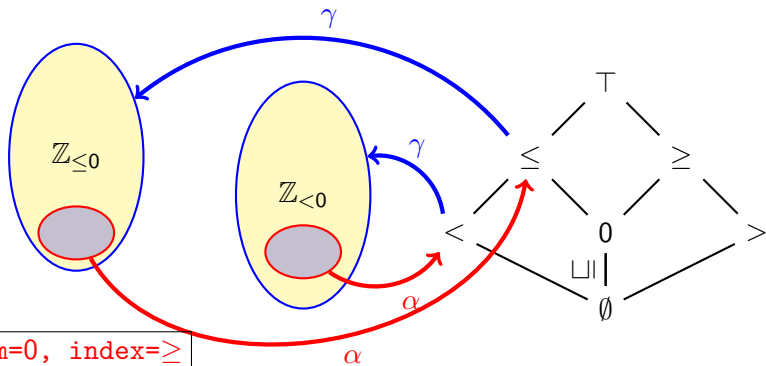


`gSum=0, index=>`

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Abstract Interpretation: Value Domains as Lattices

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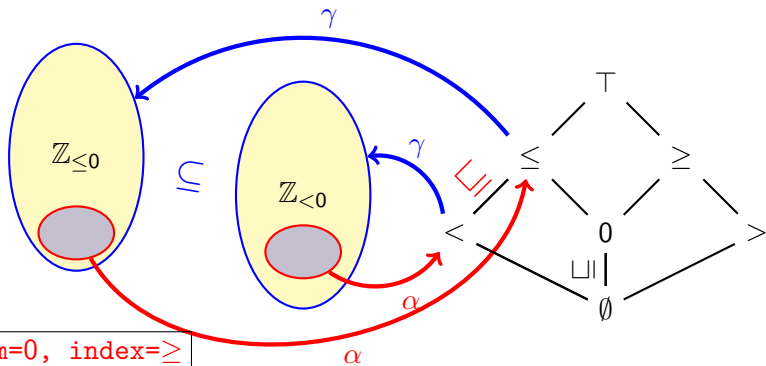


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Execution of **one** program run with **symbolic** values

- Due to King 1969ff
- Characterisation of program semantics with calculus: Hoare 1969
- Symbolic execution as verification: Burstall 1974
- Symbolic execution in program logic: Stephan et al., 1986

Characterisation of Soundness in Program Logic

Modeling as used in KeY-System, Hähnle et al., 1998ff

Update Representation of symbolic configuration of program variables (state) with **explicit substitutions**:

$$\mathcal{U} = \{gSum := g_0 + i_0 \mid index := i_0 - 1\}$$

Formulas Partial correctness of program p in start state \mathcal{U} wrt. post condition: $\mathcal{U}[p]post$

Example $index \geq 0 \rightarrow [Gauss](index \doteq 0 \ \& \ gSum \geq 0)$

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Example $\text{index} \geq 0 \rightarrow [\text{Gauss}](\text{index} \doteq 0 \ \& \ \text{gSum} \geq 0)$

Partial Correctness Formulas

- $\text{Pre} \rightarrow [P]\text{Post}$ corresponds to Hoare triple $\{\text{Pre}\}P\{\text{Post}\}$
- Dynamic logic: multi-modal logic with operator for each program P
- Kripke semantics over program states:
$$s \models [P]\psi \quad \text{iff} \quad \text{for all } t: \text{if } s \llbracket P \rrbracket t \text{ then } t \models \psi$$

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Updates (generalized explicit substitutions)

- $\mathcal{U} = \{v_1 := t_1 \parallel \dots \parallel v_n := t_n\}$, v_i program variables, t_i f.-o. terms
- $\mathcal{U}\varphi$ when φ f.-o. formula: like substitution
- $\mathcal{U}\varphi$ when φ program formula: delay application
 - \mathcal{U} accumulate in front of program formulas during symbolic execution
 - Updates simplified constantly (rewrite system with normal form)
- Extensions for object access, arrays, conditional terms

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$$\text{assignment} \quad \frac{\Gamma \Rightarrow \mathcal{U}\{x := e\}[\text{rest}]\phi, \Delta}{\Gamma \Rightarrow \mathcal{U}[x=e; \text{rest}]\phi, \Delta}$$

where e is a simple expression (no side effects, method calls)

Symbolic Execution of Conditional Statement

$$\text{if } \frac{\Gamma, \mathcal{U}b \Rightarrow \mathcal{U}[p; \text{rest}]\phi, \Delta \quad \Gamma, \mathcal{U}!b \Rightarrow \mathcal{U}[q; \text{rest}]\phi, \Delta}{\Gamma \Rightarrow \mathcal{U}[\text{if } (b) \{ p \} \text{ else } \{ q \}; \text{rest}]\phi, \Delta}$$

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Symbolic Execution of Loop Statement

$$\text{unwindLoop} \frac{\Gamma \Rightarrow \mathcal{U}[\text{if } (b) \{ p; \text{while } (b) p \}; \text{rest}]\phi, \Delta}{\Gamma \Rightarrow \mathcal{U}[\text{while } (b) \{p\}; \text{rest}]\phi, \Delta}$$

Without fixed loop bound: no finite symbolic execution

Extended Type Systems

- fully automatic
- efficient
- low expressivity
- depend on target language
- mostly prototypes

Abstract Interpretation

- fully automatic
- terminating
- approximation
- fixed degree of precision
- commercial usage

Program Logic

- interactive or annotations
- high expressivity
- logic-based specification
- real-world case studies

Type Systems, Abstract Interpretation, Program Logic

Extended Type Systems

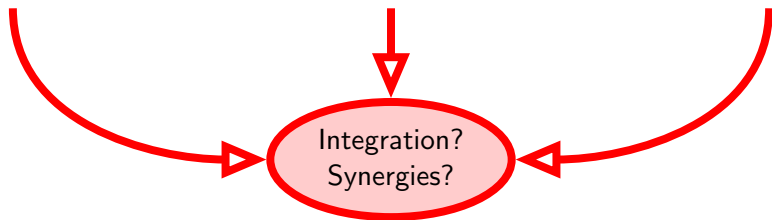
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1. View a calculus for type inference as abstract interpretation

```
x = (x % 2 * y) * z - 327;
```

↓ Abstraction

```
x = (x, y, z);
```

Complex assignment

concrete/symbolic execution

Hunt-Sands type system as book-keeping of variable dependencies

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Infinite abstract domain: logic formulas over memory configurations

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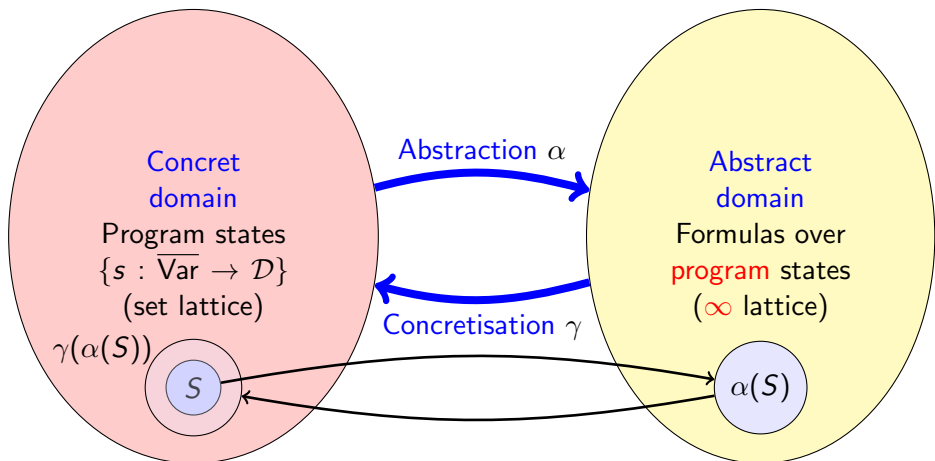
Consequence

- Abstract interpretation compatible with symbolic execution in program logic
- Conceptual integration of all three techniques possible!

Realisation in reverse order:

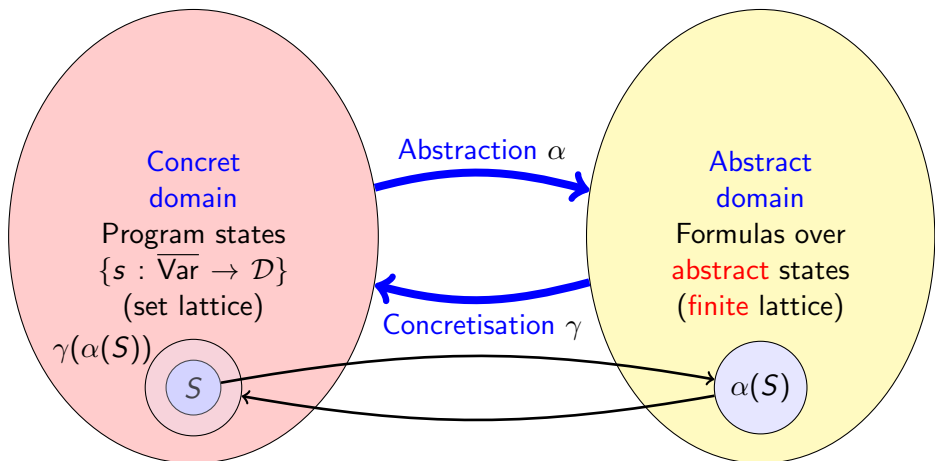
- 1 Implementation of abstraction in program logic
 - logic signature for abstract domains and abstraction function
 - calculus for abstraction steps and execution of abstract programs
 - soundness conditions
- 2 Model (information flow) type system as abstract domain

Abstraction and Symbolic Execution



Symbolic execution as infinite, abstract Domain

Abstraction and Symbolic Execution



Symbolic execution in finite, abstract Domain

Signature (first-order) for abstract Domain \mathcal{A}

- for each $a \in \mathcal{A}$ and $z \in \mathbb{Z}$ there is a constant $\gamma_{a,z}$
- for each $a \in \mathcal{A}$ there is a unary predicate χ_a

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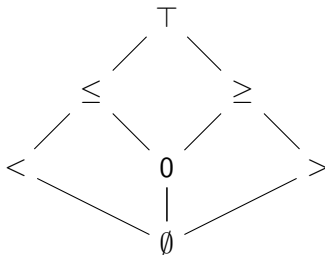
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We admit only interpretations I with the following properties:

- for each $a \in \mathcal{A}$ and each $z \in \mathbb{Z}$: $I(\gamma_{a,z}) \in \gamma(a)$
“the $\gamma_{a,z}$ represent the abstract values”
- for each $a \in \mathcal{A}$: $I(\chi_a) = \gamma(a)$
“the χ_a are characteristic sets of the abstract values”

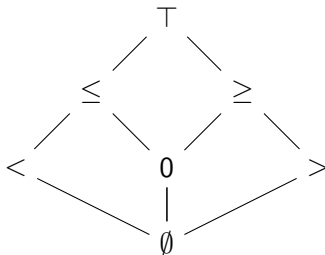
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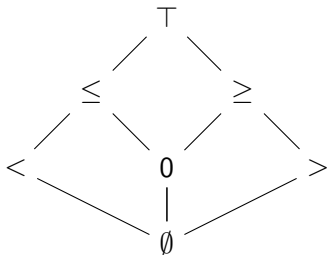
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| $a \in \mathcal{A}$ | $\chi_a(x)$ | $\gamma_{a, \mathbb{Z}}$ | axioms for the new symbols |
|---------------------|-------------|--------------------------|--|
| $<$ | $\chi_{<}$ | $\gamma_{<, 1, \dots}$ | $\forall x. (\chi_{<}(x) \leftrightarrow x < 0), \chi_{<}(\gamma_{<, \mathbb{Z}})$ |

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| 0 | χ_0 | $\gamma_{0, 1, \dots}$ | $\forall x. (\chi_0(x) \leftrightarrow x \doteq 0), \chi_0(\gamma_{0, \mathbb{Z}})$ |
| \vdots | \vdots | \vdots | \vdots |

Abstraction of target program

Replace

$$\Gamma \Rightarrow [p]\varphi$$

with

$$\alpha(\Gamma) \Rightarrow [\alpha(p)]\alpha(\varphi)$$

- formal semantics for **complete** target language (e.g., JAVA)
- rules for abstract execution of **arbitrary** programs

Abstraction of updates

Replace

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- Theory of abstraction of updates is sufficient

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- Theory of abstraction of updates is sufficient

Decisive insight: abstraction \sim logic weakening (implication)

$$\{x := 3 \parallel y := 3 - 5\} \rightsquigarrow$$

Replace an update \mathcal{U}

$$\{x := 3 \parallel y := 3 - 5\} \rightsquigarrow \\ \{x := \gamma_{>,1} \parallel y := \gamma_{<,2}\}$$

where $\gamma_{>,1}, \gamma_{<,2}$ new symbols

Replace an update \mathcal{U}
with
weaker, i.e., **more abstract** update \mathcal{U}'

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Replace an update \mathcal{U}
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weaker, i.e., **more abstract** update \mathcal{U}'

notion of weakening: replace integer expressions with **new** constants $\gamma_{a,\mathbb{Z}}$

Weakening of Updates: Calculus Rule

$$\text{weakenUpdate} \frac{\{\mathcal{U}\}(\bar{x} \doteq \bar{c}) \implies \exists \bar{\gamma}. \{\mathcal{U}'\}(\bar{x} \doteq \bar{c}) \quad \implies \{\mathcal{U}'\}\varphi}{\implies \{\mathcal{U}\}\varphi}$$

- right premiss: weakened update (implies conclusion)
- left premiss: soundness condition for weakening step
- $\bar{x} := (x_1, \dots, x_n)$ assignable program variables in $\mathcal{U}, \mathcal{U}'$
- $\bar{c} := (c_1, \dots, c_n)$ Skolem constants
“value of \bar{x} under \mathcal{U} in **concrete** domain”
- $\bar{\gamma}$ are the abstract symbols introduced in \mathcal{U}'
- $\exists \bar{\gamma}. \psi$ abbreviates $\exists \bar{y}. (\chi_{\bar{a}}(\bar{y}) \ \& \ \psi[\bar{\gamma}/\bar{y}])$

The reachable concrete values \bar{c} of \bar{x} are contained in the abstracted $\bar{\gamma}$

Example: Start of Symbolic Execution in Program Logic

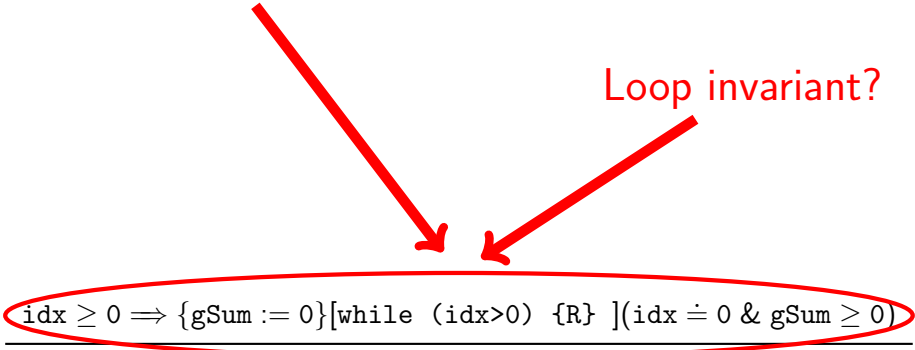
$\Rightarrow \text{idx} \geq 0 \rightarrow [\text{gSum}=0; W](\text{idx} \dot{=} 0 \ \& \ \text{gSum} \geq 0)$

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Unwinding the loop?

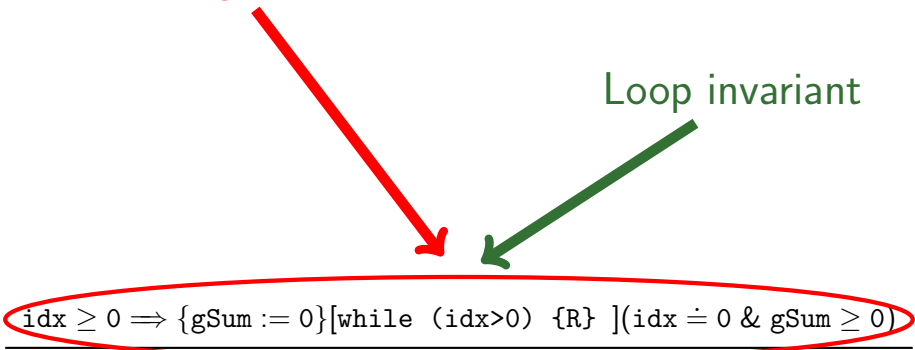
Loop invariant?


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Usual Rule for Introduction of Loop Invariant

$$\frac{\begin{array}{l} \Gamma \Rightarrow \{\mathcal{U}\}Inv, \Delta \\ g, Inv \Rightarrow [p]Inv \\ !g, Inv \Rightarrow [rest]\varphi \end{array}}{\Gamma \Rightarrow \{\mathcal{U}\}[\text{while } (g) \{p\}; \text{rest}]\varphi, \Delta}$$

(initially valid)
(invariant)
(continue)

Usual Rule for Introduction of Loop Invariant

$$\frac{\begin{array}{l} \Gamma \Rightarrow \{\mathcal{U}\}Inv, \Delta \\ g, Inv \Rightarrow [p]Inv \\ !g, Inv \Rightarrow [rest]\varphi \end{array}}{\Gamma \Rightarrow \{\mathcal{U}\}[\text{while } (g) \{p\}; \text{rest}]\varphi, \Delta}$$

(initially valid)
(invariant)
(continue)

Observations

- Loop invariant soundly approximates program states reachable after loop execution
- Loop invariant must generally be provided by user
- Premisses (invariant), (continue) may not use proof context Γ, Δ

Usual Rule for Introduction of Loop Invariant

$$\frac{\begin{array}{l} \Gamma \Rightarrow \{\mathcal{U}\}Inv, \Delta \\ g, Inv \Rightarrow [p]Inv \\ !g, Inv \Rightarrow [rest]\varphi \end{array}}{\Gamma \Rightarrow \{\mathcal{U}\}[\text{while } (g) \{p\}; \text{rest}]\varphi, \Delta}$$

(initially valid)
(invariant)
(continue)

Observations

- Loop invariant soundly approximates program states reachable after loop execution
- Loop invariant must generally be provided by user
- Premisses (invariant), (continue) may not use proof context Γ, Δ

Idea

- **Approximation of reachable states using update instead of formula**
- Preserves proof context (updates scope their local variables)
- Computation of update that characterizes loop invariant:
fixpoint algorithm based on incremental abstraction of update

Loop Rule with Updates as Invariant

(initially valid)
(invariant)
(continue)

$$\Gamma \Rightarrow \{\mathcal{U}\}[\text{while } (g) \{p\}; \dots]\varphi, \Delta$$

Loop Rule with Updates as Invariant

$$\frac{\Gamma, \{\mathcal{U}'\}!g \Rightarrow \{\mathcal{U}'\}[\dots]\varphi, \Delta}{\Gamma \Rightarrow \{\mathcal{U}\}[\text{while } (g) \{p\}; \dots]\varphi, \Delta}$$

(initially valid)
(invariant)
(continue)

- Approximate loop post-states with **abstract** update \mathcal{U}'
(replaces loop invariant)

Loop Rule with Updates as Invariant

$$\frac{\Gamma, \{\mathcal{U}\}(\bar{x} \doteq \bar{c}) \Rightarrow \exists \bar{\gamma}. \{\mathcal{U}'\}(\bar{x} \doteq \bar{c}), \Delta \quad \Gamma, \{\mathcal{U}'\}!g \Rightarrow \{\mathcal{U}'\}[\dots]\varphi, \Delta}{\Gamma \Rightarrow \{\mathcal{U}\}[\text{while } (g) \{p\}; \dots]\varphi, \Delta}$$

(initially valid)
(invariant)
(continue)

- Soundness: \mathcal{U}' is logically weaker than \mathcal{U}
- Approximate loop post-states with **abstract** update \mathcal{U}' (replaces loop invariant)

Loop Rule with Updates as Invariant

$$\frac{\begin{array}{l} \Gamma, \{\mathcal{U}\}(\bar{x} \doteq \bar{c}) \Rightarrow \exists \bar{\gamma}. \{\mathcal{U}'\}(\bar{x} \doteq \bar{c}), \Delta \quad \text{(initially valid)} \\ \Gamma, \{\mathcal{U}'\}g, \{\mathcal{U}'\}[p](\bar{x} \doteq \bar{c}) \Rightarrow \exists \bar{\gamma}. \{\mathcal{U}'\}(\bar{x} \doteq \bar{c}), \Delta \quad \text{(invariant)} \\ \Gamma, \{\mathcal{U}'\}!g \Rightarrow \{\mathcal{U}'\}[\dots]\varphi, \Delta \quad \text{(continue)} \end{array}}{\Gamma \Rightarrow \{\mathcal{U}\}[\text{while } (g) \{p\}; \dots]\varphi, \Delta}$$

- Soundness: \mathcal{U}' is logically weaker than \mathcal{U}
- Whenever loop body executed with start state in \mathcal{U}' , then at most states in \mathcal{U}' are reachable (invariant property)
- Approximate loop post-states with **abstract** update \mathcal{U}' (replaces loop invariant)

Example: Application of Loop Rule with Updates

$$\text{idx} \geq 0 \Rightarrow \{gSum := 0\}[\text{while } (\text{idx} > 0)\{R\}] \text{Post}$$

$$\Rightarrow \text{idx} \geq 0 \rightarrow [gSum=0; W] \underbrace{(\text{idx} \dot{=} 0 \ \& \ gSum \geq 0)}_{\text{Post}}$$

Example: Application of Loop Rule with Updates

(initially valid) $\text{idx} \geq 0, \{\text{gSum} := 0\}(\text{idx} \dot{=} c_1 \ \& \ \text{gSum} \dot{=} c_2) \Rightarrow$
 $\exists \bar{\gamma}. \{\mathcal{U}'\}(\text{idx} \dot{=} c_1 \ \& \ \text{gSum} \dot{=} c_2)$

(initially valid)

$\text{idx} \geq 0 \Rightarrow \{\text{gSum} := 0\}[\text{while } (\text{idx} > 0)\{\text{R}\}] \text{Post}$

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(invariant) $\{\mathcal{U}'\} \text{idx} > 0, \{\mathcal{U}'\}[\mathbf{R}](\bar{x} \doteq \bar{c}) \implies \exists \bar{\gamma}. \{\mathcal{U}'\}(\bar{x} \doteq \bar{c})$

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(invariant)

$\text{idx} \geq 0 \implies \{\text{gSum} := 0\}[\text{while } (\text{idx} > 0)\{\mathbf{R}\}]\text{Post}$

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(continue) $\{\mathcal{U}'\} ! \text{idx} > 0 \Rightarrow \{\mathcal{U}'\}[\] \text{Post}$

(initially valid) (invariant) (continue)

$\text{idx} \geq 0 \Rightarrow \{\text{gSum} := 0\}[\text{while } (\text{idx} > 0)\{\mathbf{R}\} \] \text{Post}$

$\Rightarrow \text{idx} \geq 0 \rightarrow [\text{gSum}=0; \mathbf{W}] \underbrace{(\text{idx} \doteq 0 \ \& \ \text{gSum} \geq 0)}_{\text{Post}}$

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(invariant) $\{U'\} \text{idx} > 0, \{U'\}[\mathbb{R}](\bar{x} \doteq \bar{c}) \Rightarrow \exists \bar{\gamma}. \{U'\}(\bar{x} \doteq \bar{c})$

(continue) $\{U'\} ! \text{idx} > 0 \Rightarrow \{U'\}[\] \text{Post}$

How to obtain U' ?

(initially valid)

(invariant)

(continue)

$\text{idx} \geq 0 \Rightarrow \{\text{gSum} := 0\}[\text{while } (\text{idx} > 0)\{\mathbb{R}\}] \text{Post}$

$\Rightarrow \text{idx} \geq 0 \rightarrow [\text{gSum} = 0; W] \underbrace{(\text{idx} \doteq 0 \ \& \ \text{gSum} \geq 0)}_{\text{Post}}$

Fixpoint approximation
in side computation

$$\text{idx} \geq 0 \Rightarrow \{\text{gSum} := 0\}[\text{while } (\text{idx} > 0)\{\text{R}\}]\text{Post}$$

- 1 Start: initial proof obligation for the loop

Computing Invariants

Termination
(ignored)

$$\text{idx} \geq 0, \{\text{gSum} := 0\}(\text{idx} > 0) \implies \{\text{gSum} := 0\}[\text{R};\text{W}]\text{Post}$$

$$\text{idx} \geq 0 \implies \{\text{gSum} := 0\}[\text{while } (\text{idx}>0)\{\text{R}\}]\text{Post}$$

- 1 Start: initial proof obligation for the loop
- 2 **Unwind** the loop W once

Computing Invariants

$$\text{idx} > 0 \implies \{\text{gSum} := \text{idx} - 1 \parallel \text{idx} := \text{idx} - 1\}[\text{W}]\text{Post}$$

⋮

$$\text{idx} > 0 \implies \{\text{gSum} := 0\}[\text{R};\text{W}]\text{Post}$$

Termination
(ignored)

$$\text{idx} \geq 0, \{\text{gSum} := 0\}(\text{idx} > 0) \implies \{\text{gSum} := 0\}[\text{R};\text{W}]\text{Post}$$

$$\text{idx} \geq 0 \implies \{\text{gSum} := 0\}[\text{while } (\text{idx} > 0)\{\text{R}\}]\text{Post}$$

- 1 Start: initial proof obligation for the loop
- 2 **Unwind** the loop W once **and** symbolically execute body R

Computing Invariants

$$\text{idx} > 0 \implies \{\text{gSum} := \text{idx} - 1 \parallel \text{idx} := \text{idx} - 1\}[\text{W}]\text{Post}$$

⋮

$$\text{idx} > 0 \implies \{\text{gSum} := 0\}[\text{R};\text{W}]\text{Post}$$

Termination
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- 3 Compute suitable abstraction of update in post-state
 - 1 **compare** current state with state before most recent unwinding

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⋮

$$\text{idx} > 0 \implies \{\text{gSum} := 0\}[\text{R};\text{W}]\text{Post}$$

Termination
(ignored)

$$\text{idx} \geq 0, \{\text{gSum} := 0\}(\text{idx} > 0) \implies \{\text{gSum} := 0\}[\text{R};\text{W}]\text{Post}$$

$$\text{idx} \geq 0 \implies \{\text{gSum} := 0\}[\text{while } (\text{idx} > 0)\{\text{R}\}]\text{Post}$$

- 1 Start: initial proof obligation for the loop
- 2 **Unwind** the loop W once and symbolically execute body R
- 3 Compute suitable abstraction of update in post-state
 - 1 **compare** current state with state before most recent unwinding
 - 2 compare **right-hand sides** of updates for identical variables

$$\text{idx} > 0 \implies \{\text{gSum} := \gamma_{\geq,1} \parallel \text{idx} := \gamma_{\geq,2}\}[\text{W}]\text{Post}$$

$$\text{idx} > 0 \implies \{\text{gSum} := \text{idx} - 1 \parallel \text{idx} := \text{idx} - 1\}[\text{W}]\text{Post}$$

⋮

$$\text{idx} > 0 \implies \{\text{gSum} := 0\}[\text{R};\text{W}]\text{Post}$$

Termination
(ignored)

$$\text{idx} \geq 0, \{\text{gSum} := 0\}(\text{idx} > 0) \implies \{\text{gSum} := 0\}[\text{R};\text{W}]\text{Post}$$

$$\text{idx} \geq 0 \implies \{\text{gSum} := 0\}[\text{while } (\text{idx} > 0)\{\text{R}\}]\text{Post}$$

- 1 Start: initial proof obligation for the loop
- 2 **Unwind** the loop W once and symbolically execute body R
- 3 Compute suitable abstraction of update in post-state
 - 1 **compare** current state with state before most recent unwinding
 - 2 compare **right-hand sides** of updates for identical variables
 - 3 **abstraction** of conflicting subterms using \sqcup -minimal value

$$\text{idx} > 0 \implies \{\text{gSum} := \gamma_{\geq,1} \parallel \text{idx} := \gamma_{\geq,2}\}[\text{W}]\text{Post}$$

$$\text{idx} > 0 \implies \{\text{gSum} := \text{idx} - 1 \parallel \text{idx} := \text{idx} - 1\}[\text{W}]\text{Post}$$

⋮

$$\text{idx} > 0 \implies \{\text{gSum} := 0\}[\text{R};\text{W}]\text{Post}$$

Termination
(ignored)

$$\text{idx} \geq 0, \{\text{gSum} := 0\}(\text{idx} > 0) \implies \{\text{gSum} := 0\}[\text{R};\text{W}]\text{Post}$$

$$\text{idx} \geq 0 \implies \{\text{gSum} := 0\}[\text{while } (\text{idx} > 0)\{\text{R}\}]\text{Post}$$

- 1 Start: initial proof obligation for the loop
- 2 **Unwind** the loop W once and symbolically execute body R
- 3 Compute suitable abstraction of update in post-state
- 4 Test for fixpoint (no new states are reachable post-state)
can be expressed as logic formula \implies automated theorem prover

$$\text{idx} \geq 0 \Rightarrow \{\text{gSum} := \gamma_{\geq,1} \parallel \text{idx} := \gamma_{\geq,2}\}[\text{W}]\text{Post}$$
$$\vdots$$
$$\text{idx} \geq 0 \Rightarrow \{\text{gSum} := 0\}[\text{while } (\text{idx} > 0)\{\text{R}\}]\text{Post}$$

- 1 No fixpoint reached yet \rightarrow unwind loop once more

Computing Invariants Cont'd

Termination
(ignored)

$$\text{idx} \geq 0, \{\text{gSum} := 0\}(\text{idx} > 0) \implies \{\text{gSum} := 0\}[\text{R}; \text{W}]\text{Post}$$

$$\text{idx} \geq 0 \implies \{\text{gSum} := \gamma_{\geq,1} \parallel \text{idx} := \gamma_{\geq,2}\}[\text{W}]\text{Post}$$

⋮

$$\text{idx} \geq 0 \implies \{\text{gSum} := 0\}[\text{while } (\text{idx} > 0)\{\text{R}\}]\text{Post}$$

- 1 No fixpoint reached yet \rightarrow unwind loop once more
- 2 Symbolically execute loop body

Computing Invariants Cont'd

$$\dots \Rightarrow \{gSum := \gamma_{\geq,3} \parallel idx := \gamma_{\geq,4}\}[W]Post$$

⋮

Termination
(ignored)

$$idx \geq 0, \{gSum := 0\}(idx > 0) \Rightarrow \{gSum := 0\}[R;W]Post$$

$$idx \geq 0 \Rightarrow \{gSum := \gamma_{\geq,1} \parallel idx := \gamma_{\geq,2}\}[W]Post$$

⋮

$$idx \geq 0 \Rightarrow \{gSum := 0\}[while \ (idx > 0)\{R\}]Post$$

- 1 No fixpoint reached yet \rightarrow unwind loop once more
- 2 Symbolically execute loop body
- 3 Compute abstract update

found
invariant
update

$\dots \Rightarrow \{gSum := \gamma_{\geq,3} \parallel idx := \gamma_{\geq,4}\} [W] Post$

⋮

Termination
(ignored)

$idx \geq 0, \{gSum := 0\} (idx > 0) \Rightarrow \{gSum := 0\} [R; W] Post$

$idx \geq 0 \Rightarrow \{gSum := \gamma_{\geq,1} \parallel idx := \gamma_{\geq,2}\} [W] Post$

⋮

$idx \geq 0 \Rightarrow \{gSum := 0\} [while \ (idx > 0) \{R\}] Post$

- 1 No fixpoint reached yet \rightarrow unwind loop once more
- 2 Symbolically execute loop body
- 3 Compute abstract update
- 4 Test fixpoint

Example: Application of Loop Rule with Updates

(initially valid) $\text{idx} \geq 0, \{\text{gSum} := 0\}(\text{idx} \doteq c_1 \ \& \ \text{gSum} \doteq c_2) \Rightarrow$
 $\exists \bar{\gamma}. \{\mathcal{U}'\}(\text{idx} \doteq c_1 \ \& \ \text{gSum} \doteq c_2)$

(invariant) $\{\mathcal{U}'\} \text{idx} > 0, \{\mathcal{U}'\}[\mathbb{R}](\bar{x} \doteq \bar{c}) \Rightarrow \exists \bar{\gamma}. \{\mathcal{U}'\}(\bar{x} \doteq \bar{c})$

(continue) $\{\mathcal{U}'\} ! \text{idx} > 0 \Rightarrow \{\mathcal{U}'\} [] \text{Post}$

How to obtain \mathcal{U}' ?

(initially valid)

(invariant)

(continue)

$\text{idx} \geq 0 \Rightarrow \{\text{gSum} := 0\}[\text{while } (\text{idx} > 0)\{\mathbb{R}\}] \text{Post}$

$\Rightarrow \text{idx} \geq 0 \rightarrow [\text{gSum}=0; \mathbb{W}] \underbrace{(\text{idx} \doteq 0 \ \& \ \text{gSum} \geq 0)}_{\text{Post}}$

Example: Application of Loop Rule with Updates

(initially valid) $\text{idx} \geq 0, \{\text{gSum} := 0\}(\text{idx} \doteq c_1 \ \& \ \text{gSum} \doteq c_2) \Rightarrow \exists \bar{\gamma}. \{\mathcal{U}'\}(\text{idx} \doteq c_1 \ \& \ \text{gSum} \doteq c_2)$

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(continue) $\{\mathcal{U}'\} ! \text{idx} > 0 \Rightarrow \{\mathcal{U}'\} [] \text{Post}$

How to obtain \mathcal{U}' ?

$\text{gSum} := \gamma_{\geq,3} \parallel \text{idx} := \gamma_{\geq,4}$

(initially valid)

(invariant)

(continue)

$\text{idx} \geq 0 \Rightarrow \{\text{gSum} := 0\}[\text{while } (\text{idx} > 0)\{\mathbb{R}\}] \text{Post}$

$\Rightarrow \text{idx} \geq 0 \rightarrow [\text{gSum} := 0; W] \underbrace{(\text{idx} \doteq 0 \ \& \ \text{gSum} \geq 0)}_{\text{Post}}$

Example: Final Step

* *

⋮ ⋮

(init.)(inv.)

(continue)

$$\text{idx} \geq 0 \Rightarrow \{gSum := 0\}[\text{while } (\text{idx} > 0)\{R\}]\text{Post}$$

$$\Rightarrow \text{idx} \geq 0 \rightarrow [gSum=0;W] \underbrace{(\text{idx} \doteq 0 \ \& \ gSum \geq 0)}_{\text{Post}}$$

Example: Final Step

$$\begin{array}{c} * \quad * \\ \vdots \quad \vdots \\ \text{(init.)} \text{(inv.)} \end{array} \frac{\begin{array}{c} \{gSum := \gamma_{\geq,3} \parallel idx := \gamma_{\geq,4}\} ! idx > 0 \Rightarrow \\ \{gSum := \gamma_{\geq,3} \parallel idx := \gamma_{\geq,4}\} [] (idx \doteq 0 \ \& \ gSum \geq 0) \end{array}}{\begin{array}{c} idx \geq 0 \Rightarrow \{gSum := 0\} [\text{while } (idx > 0) \{R\}] \text{Post} \\ \Rightarrow idx \geq 0 \rightarrow [gSum=0; W] \underbrace{(idx \doteq 0 \ \& \ gSum \geq 0)}_{\text{Post}} \end{array}}$$

Example: Final Step

$$\begin{array}{c} * \quad * \\ \vdots \quad \vdots \\ \text{(init.)} \quad \text{(inv.)} \end{array} \frac{\begin{array}{c} !\gamma_{\geq,4} > 0 \implies (\gamma_{\geq,4} \doteq 0 \ \& \ \gamma_{\geq,3} \geq 0) \\ \vdots \\ \{gSum := \gamma_{\geq,3} \parallel idx := \gamma_{\geq,4}\} !idx > 0 \implies \\ \{gSum := \gamma_{\geq,3} \parallel idx := \gamma_{\geq,4}\} [] (idx \doteq 0 \ \& \ gSum \geq 0) \end{array}}{\begin{array}{c} idx \geq 0 \implies \{gSum := 0\} [\text{while } (idx > 0) \{R\}] \text{Post} \\ \implies idx \geq 0 \rightarrow [gSum=0; W] \underbrace{(idx \doteq 0 \ \& \ gSum \geq 0)}_{\text{Post}} \end{array}}$$

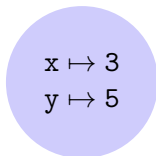
Example: Final Step

$$\begin{array}{c}
 * \\
 \vdots \text{ (axioms for } \gamma_{\geq, z}) \\
 * \quad * \quad \frac{! \gamma_{\geq, 4} > 0 \implies (\gamma_{\geq, 4} \dot{=} 0 \ \& \ \gamma_{\geq, 3} \geq 0)}{\vdots} \\
 \vdots \quad \vdots \quad \frac{\{gSum := \gamma_{\geq, 3} \parallel idx := \gamma_{\geq, 4}\} ! idx > 0 \implies}{(init.)(inv.) \quad \frac{\{gSum := \gamma_{\geq, 3} \parallel idx := \gamma_{\geq, 4}\} [] (idx \dot{=} 0 \ \& \ gSum \geq 0)}{idx \geq 0 \implies \{gSum := 0\} [while \ (idx > 0) \{R\}] Post}}{\implies idx \geq 0 \rightarrow [gSum=0; W] \underbrace{(idx \dot{=} 0 \ \& \ gSum \geq 0)}_{Post}}
 \end{array}$$

- 1 Implementation of abstraction in program logic ✓
 - logic signature for abstract domains and abstraction function
 - calculus for abstraction steps and execution of abstract programs
 - soundness conditions
- 2 Model (information flow) type system as abstract domain

Semantics

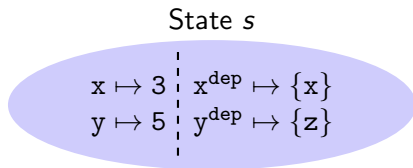
State s



Signature (minimalist version)

- program variables $PV := \{x, y, z, \dots\}$
- ...

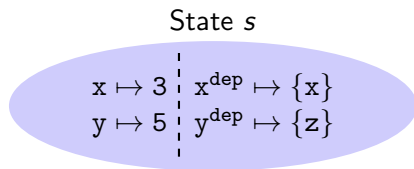
Semantics



Signature (minimalist version)

- program variables $PV := \{x, y, z, \dots\}$
- + $PV^{\text{dep}} := \{x^{\text{dep}} \mid x \in PV\}$
- ...

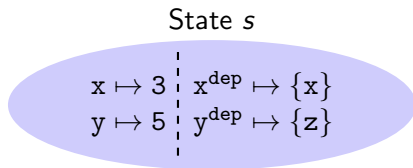
Semantics



Signature (minimalist version)

- program variables $PV := \{x, y, z, \dots\}$
- + $PV^{\text{dep}} := \{x^{\text{dep}} \mid x \in PV\}$
- + interpreted functions for set theory fragment $\{\cdot\}, \{\dot{x}\}$ f.a. $x \in PV, \dot{x}$
- ...

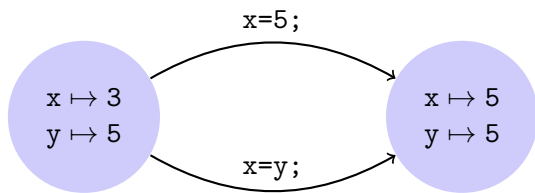
Semantics



Signature (minimalist version)

- program variables $PV := \{x, y, z, \dots\}$
- + $PV^{\text{dep}} := \{x^{\text{dep}} \mid x \in PV\}$
- + interpreted functions for set theory fragment $\dot{\{ \}}, \dot{\{x\}}$ f.a. $x \in PV, \dot{\cup}$
- + interpreted predicate $\dot{\subseteq}$
- ...

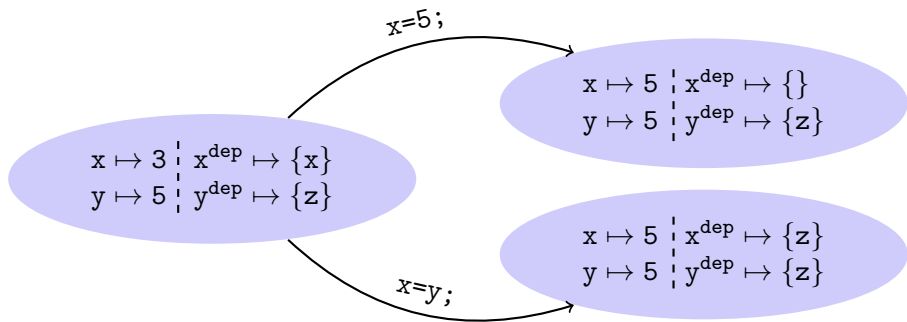
Symbolic Execution and Variable Dependencies



$$\text{assignment} \frac{\Gamma \Rightarrow \mathcal{U}\{x := e\}[\text{rest}]\phi, \Delta}{\Gamma \Rightarrow \mathcal{U}[x=e; \text{rest}]\phi, \Delta}$$

Symbolic execution of assignment:
post-state differentiates only values, no dependencies!

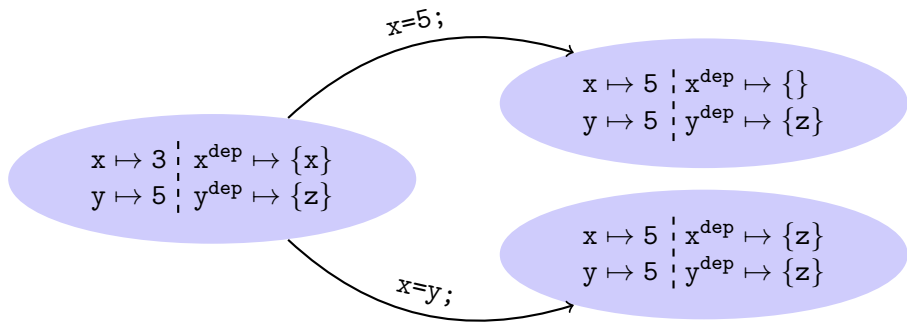
Symbolic Execution and Variable Dependencies



$$\text{assignment}^{\text{dep}} \frac{\Gamma \Rightarrow \mathcal{U}\{x := e \parallel x^{\text{dep}} := \text{dep}(e)\}[\text{rest}]\phi, \Delta}{\Gamma \Rightarrow \mathcal{U}[x=e; \text{rest}]\phi, \Delta}$$

Tracking dependencies in separate variables v^{dep}

Symbolic Execution and Variable Dependencies



$$\text{assignment}^{\text{dep}} \frac{\Gamma \Rightarrow \mathcal{U}\{x := e \parallel x^{\text{dep}} := \text{dep}(e)\}[\text{rest}]\phi, \Delta}{\Gamma \Rightarrow \mathcal{U}[x=e; \text{rest}]\phi, \Delta}$$

Tracking dependencies in separate variables v^{dep}

More rules need to be changed: if, unwindLoop (**implicit dependencies**)

Example: Proving Non-Interference

```
l1=0;l2=0;
while (h<0) {
    l2=l2+1;
    h=h+1;
}
if (l2<0) {l1=1;}
```

l1, l2 public variables, h secret variable

Example: Proving Non-Interference

```
l1=0;l2=0;
while (h<0) {
    l2=l2+1;
    h=h+1;
}
if (l2<0) {l1=1;}
```

l1, l2 public variables, h secret variable

Non-interference: (e.g., l1 does not depend on h)

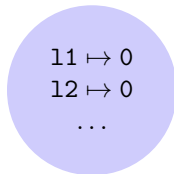
Proof obligation to be proven in program logic:

$$l1^{\text{dep}} \doteq \{l1\}, l2^{\text{dep}} \doteq \{l2\}, h^{\text{dep}} \doteq \{h\} \implies [l1=0;l2=0; \dots](l1^{\text{dep}} \dot{\subseteq} \{l1\} \dot{\cup} \{l2\})$$

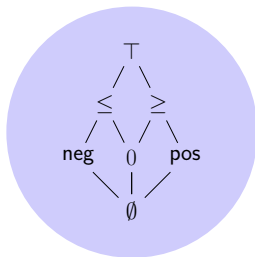
Proof is fully automatic; this cannot be shown with type analysis!

Example: a Suitable Abstract Domain

State



Abstract Domain

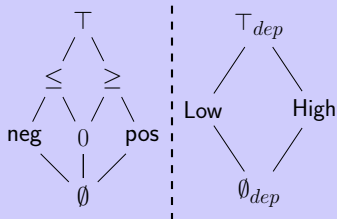


Example: a Suitable Abstract Domain

State

$11 \mapsto 0$ $11^{\text{dep}} \mapsto \{\}$
 $12 \mapsto 0$ $12^{\text{dep}} \mapsto \{\}$
... ...

Abstract Domain



$$\gamma(\emptyset) = \emptyset, \gamma(\text{Low}) = 2^{\{11, 12\}}, \gamma(\text{High}) = 2^{\{h\}}, \gamma(\top_{\text{dep}}) = 2^{\text{PV}}$$

- 1 Integration of a natural notion of abstraction into program logic
- 2 Modeling of type inference as abstract interpretation

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Important Points

- Abstraction of symbolic state expressions (“updates”), not of arbitrary programs: **scales up to real programming languages**
- Abstraction \sim logic weakening
- Variable-wise abstraction on demand during symbolic execution
- Fixpoint algorithm automatically computes candidate for loop invariant
- Suitable for state-of-art type systems as used in security analysis
- Abstract symbolic execution stronger than type inference
- Soundness of calculus rules has been proven (FMCO 2008 Post-Proc.)

Summary:

Extended Type Systems

- fully automatic
- efficient
- low expressivity
- depend on target language
- mostly prototypes

Abstract Interpretation

- fully automatic
- terminating
- approximation
- fixed degree of precision
- commercial usage

Program Logic

- interactive or annotations
- high expressivity
- logic-based specification
- real-world case studies

Summary: Logic Solves Everything

Extended Type Systems

- fully automatic
- efficient
- low expressivity
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Abstract Interpretation

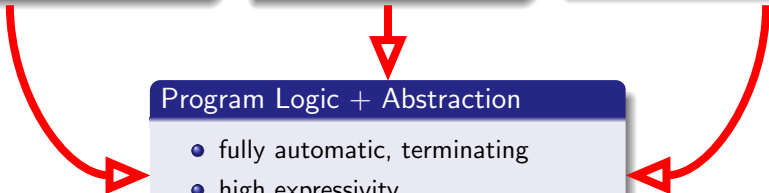
- fully automatic
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Program Logic

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Program Logic + Abstraction

- fully automatic, terminating
- high expressivity
- logic-based specification
- real-world case studies



- In progress: extension to sequential JAVA
 - extend abstraction to arrays, object types
 - reuse existing logic-based symbolic execution for JAVA in KeY
- Increased precision of the analysis (e.g., $l = h-h$)
proof of soundness requires trace semantics
- More complex abstract domains
- Integration with abstract interpretation tools
- Implementation (prototype ready), evaluation